

FORM PTO-1390  
(REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
129072

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
New U.S. Patent Application  
**10/590443**

INTERNATIONAL APPLICATION NO.  
PCT/GB2005/000814

INTERNATIONAL FILING DATE  
March 4, 2005

PRIORITY DATE CLAIMED  
March 4, 2004

TITLE OF INVENTION  
OPTICAL READHEAD

APPLICANT(S) FOR DO/EO/US

David R. MCMURTRY; James R. HENSHAW; Michael HOMER; Mark A. V. CHAPMAN; Raymond J. CHANEY; William E. LEE;  
Jason K. SLACK

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  - c. ☒ The International Application was filed in English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: International Search Report; Transmittal of Power of Attorney; General Power of Attorney

U.S. APPLICATION NO. (if known) See 37 CFR 1.53 New U.S. Patent Application		INTERNATIONAL APPLICATION NO. PCT/GB2005/000814		ATTORNEY'S DOCKET NUMBER 129072	
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21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b>		<b>PTO USE ONLY</b>																								
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)):</b> ..... \$ 300.00 <b>SEARCH FEE (37 CFR 1.492(b)(1)-(3)):</b> International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase ..... \$ 0.00 International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA ..... \$ 100.00 International search report provided to USPTO no later than the time at which the search fee is paid ..... \$ 400.00 All situations not provided for above ..... \$ 500.00 <b>EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):</b> International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase ..... \$ 0.00 All situations not provided for above ..... \$ 200.00 Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or declaration after the date of commencement of the national phase (37 CFR 1.492(h)). \$ <b>APPLICATION SIZE FEE</b> Total pages - 100 =                      ÷ 50                      = ↑                      x 250 =                      \$ †round up to next integer				\$300.00 \$400.00          \$200.00          \$         \$																										
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:25%;">CLAIMS</th> <th style="width:25%;">NUMBER FILED</th> <th style="width:25%;">NUMBER EXTRA</th> <th style="width:25%;">RATE</th> <th style="width:20%;">\$</th> </tr> <tr> <td>TOTAL CLAIMS</td> <td>20 - 20</td> <td>= 0</td> <td>x 50.00 =</td> <td>\$</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td>1 - 3</td> <td>= 0</td> <td>x 200.00 =</td> <td>\$</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S)(if applicable)</td> <td>+ 360.00 = \$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$900.00</td> </tr> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	TOTAL CLAIMS	20 - 20	= 0	x 50.00 =	\$	INDEPENDENT CLAIMS	1 - 3	= 0	x 200.00 =	\$	MULTIPLE DEPENDENT CLAIM(S)(if applicable)				+ 360.00 = \$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$900.00		
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$																										
<b>TOTAL FEES ENCLOSED =</b>				\$900.00																										
				Amount to be refunded: \$																										
				charged: \$																										

a. ☒ Check No. 183444 in the amount of \$900.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
 OLIFF & BERRIDGE, PLC  
 Customer Number: 25944

Date August 24, 2006

NAME: James A. Oliff  
 REGISTRATION NUMBER: 27,075

NAME: Joel S. Armstrong  
 REGISTRATION NUMBER: 36,430

U.S. APPLICATION NO. 15-0461 (See 37 CFR 1.53) New U.S. Patent Application		INTERNATIONAL APPLICATION NO. PCT/GB2005/000814		ATTORNEY'S DOCKET NUMBER 129072	
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS      PTO USE ONLY</b>	
BASIC NATIONAL FEE (37 CFR 1.492(a)): ..... \$ 300.00				\$300.00	
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All situations not provided for above ..... \$ 500.00					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):				\$200.00	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase ..... \$ 0.00					
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